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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q78028

Yasushi HATTORI, et al.

Appln. No.: 10/687,961

Group Art Unit: 1773

Confirmation No.: 8652

Examiner: Holly C. Rickman

Filed: October 20, 2003

For: MAGNETIC PARTICLE-COATED MATERIAL, MAGNETIC RECORDING MEDIUM,
ELECTROMAGNETIC SHIELD MATERIAL, AND METHODS OF
MANUFACTURING SAME

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby
notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached
PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem
material to patentability of the claims of the above-identified application.

<u>Serial No.</u>	<u>Applicant's Name</u>	<u>Filing Date</u>
10/895,938	HATTORI et al.	July 22, 2004 ¹
10/895,940	HATTORI et al.	July 22, 2004 ²

¹ Published as US 2004/0261905 A1

² Published as US 2004/0261906 A1

One copy of each of the listed documents is submitted herewith, except for the following:
U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications
filed after June 30, 2003.

The present Information Disclosure Statement is being filed after the later of three
months from the application's filing date and the mailing date of the first Office Action on the
merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes
prosecution in the application (whichever is earlier), and therefore Applicant is filing
concurrently herewith a Statement Under 37 C.F.R. § 1.97(e). No fee under 37 C.F.R. § 1.17(p)
is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for
foreign language documents, Applicant encloses herewith a copy of a Communication from a
foreign patent office in a counterpart application citing such documents (European Search Report
for EP 03 01 2141 dated February 1, 2006), together with an English-language version (if not
already included) of at least that portion of the Communication indicating the degree of
relevance found by the foreign patent office. Additionally, Applicants enclose an English
language Abstract for JP 3-169001, JP 2001-256631 and DE 197 07 754 A1.

Also, in compliance with the concise explanation requirement under 37C.F.R. § 1.98(a)(3)
for foreign language documents, Applicant submits the following explanations:

U.S. Patent No. 5,735,969 corresponds to DE 197 07 754 A1.

U.S. Patent Application Publication No. 2003/0059604 was previously disclosed in the
Information Disclosure Statement filed August 18, 2005.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,



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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: April 27, 2006

Substitute for Form 1449 A & B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

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of

1

Complete if Known

Application Number	10/687,961
Confirmation Number	8652
Filing Date	October 20, 2003
First Named Inventor	Yasushi HATTORI
Art Unit	1773
Examiner Name	Holly C. Rickman
Attorney Docket Number	Q78028

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US 5,737,969		04-07-1998	LOWN et al.
		US			
		US			
		US			
		US			
		US			
		US			
		US			
		US			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
		DE	197 07 754	A1	09-11-1997	IMATION CORP; TODA KOGYO CORP	Abstract
		JP	3-169001		07-22-1991	NIPPON STEEL CORP	Abstract
		JP	2001-256631		09-21-2001	NARUSE ATSUSHI	Abstract

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶

Examiner Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.



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STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

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